

## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

IND CLAIMS

**DRAWINGS** TOT CLAIMS FIL FEE REC'D ATTY.DOCKET.NO GRP ART UNIT APPLICATION NUMBER FILING DATE 926 246152016500 03/25/2002 1754 10/089.213

22

**CONFIRMATION NO. 3222** 

Kate H Murashige Morrison & Foerster Suite 500 3811 Valley Centre Drive San Diego, CA 92130-2332 **FILING RECEIPT** 

C000000008259086\*

Date Mailed: 06/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Danielle Geertruida Irene Petra, Maastricht, NETHERLANDS; Paulus Clemens Jozef Kamer, Naarden, NETHERLANDS; Petrus Wilhelmus Nicolaas Maria Leeuwen Van, Kockengen, NETHERLANDS; Johannes Gerardus Vries De, Maastricht, NETHERLANDS; Hans Egbert Schoemaker, Aj Geleen, NETHERLANDS;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/NL00/00701 09/29/2000

**Foreign Applications** 

NETHERLANDS 1013183 09/30/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\* No Docketing Required \* Reviewed by Docketing

Title

Catalyst for asymmetrical transfer hydrogenation

RECEIVED

JUN 2 4 2002

**MORRISON & FOERSTER** SAN DIEGO

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

mage 1754

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Use perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/089,213 Filing Date **TRANSMITTAL** Intn'l Filing Date 9/29/2000 **FORM** First Named Inventor Danielle Geertruida Irene **PETRA** Art Unit (to be used for all correspondence after initial filing) 1754 **Examiner Name** Not Yet Assigned Attorney Docket Number Total Number of Pages in This Submission 246152016500 ENCLOSURES (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter (w/enclosures; 3 Change of Correspondence Address Affidavits/declaration(s) Х pages) X Other Enclosure(s) (please identify below): Extension of Time Request Terminal Disclaimer Return postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Customer No. 25225 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm **MORRISON & FOERSTER LLP** or Carolyn A. Favorito - 39,183

Signature Signature
Date March 3, 2004
na dia mandra dia mand
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.a. Box 1450, Alexandria, VA 22313-1450, on the date shown below.  Dated:
sd-186211

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below

Docket No.: 246152016500

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

Danielle Geertruida Irene PETRA et al.

Application No.: 10/089,213

Group Art Unit: 1754

International Filing Date: September 29, 2000

Examiner: Not Yet Assigned

For: CATALYST FOR ASYMMETRICAL

TRANSFER HYDROGENATION

### STATUS INQUIRY

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It is respectfully requested that the attorney named below be advised of the status of the above-identified application. Please advise us of when we might expect to receive a first Office Action from the Patent and Trademark Office.

This application was filed on March 25, 2002. A copy of the filing receipt is enclosed herewith. Applicants have not received any further communication with regard to this application.

By

Dated: March 3, 2004

Respectfully submitted,

Carolyn A **Favorito** 

Registration No.: 39,183

**MORRISON & FOERSTER LLP** 3811 Valley Centre, Suite 500

San Diego, California 92130

(858) 720-5195

Attorneys for Applicant